

## 1. High level principles

ALB Limited (the “Company”) is a private limited liability company incorporated under the laws of Malta. The Company is licensed by the MFSA as a Category 3 licence holder (n.: ISI79767) and is authorised to deal on own account and execute orders on behalf of Clients in terms of the Investment Services Act (Cap. 370, Laws of Malta). The Company provides its services to retail Clients, professional Clients and eligible counterparties (collectively referred to as the “Clients”). The Company as Regulated Person acts honestly, fairly, professionally in accordance with the best interests of its Clients.

The Company is subject to The Investment Services Rules for Investment Services Providers (the “Rules”) issued by the Malta Financial Services Authority (the “MFSA”), code of conducts issued thereunder laying down regulatory obligations imposed on Investment Services Licence Holders which qualify as MiFID Firms, and it is subject to the Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (hereinafter referred to as “Market Abuses Regulation”).

With the view to protecting consumers, enhancing market integrity and promoting competition, this Termination notice has been issued and published (hereinafter referred to as the “notice”).

This notice should be regarded as integration of the Terms of Business of the Company and of section 29 “Termination and Default”. In case of conflict of the provisions of the said section and this notice, the latter should be regarded as prevailing.

## 2. Definition

For the purpose of this notice, the following term shall have the meaning set forth below:

- “SCALPING”: a financial transaction is considered as scalping when the purpose of the trade made by the customer, is not the investment on the considered financial product or taking a position on the financial market, but only a tentative to take advantage from an IT latency time or a technological inefficiency or a bug of the IT infrastructure of the licence holder.

## 3. Termination

The trading behaviour of scalping as defined above is considered a breach of the Company’s Terms of Business. The Company will not be held liable for any losses incurred. Therefore, the Company reserves the right to act reasonably in good faith and at its sole discretion to:

1. Immediately terminate your account and your access to the Company’s servers.
2. Void any trade which was part of any scalping activity.
3. Close any trade, which formed any part of any scalping behaviour, based on the Company’s current market price.

#### 4. Warning

Please note that the Company may exercise the rights enlisted above if needed.

Please be advised that the Company is monitoring closely and continuously without interruption all trading activity.

